

# An Approach to Validate an Agent Model for Human Work Pressure

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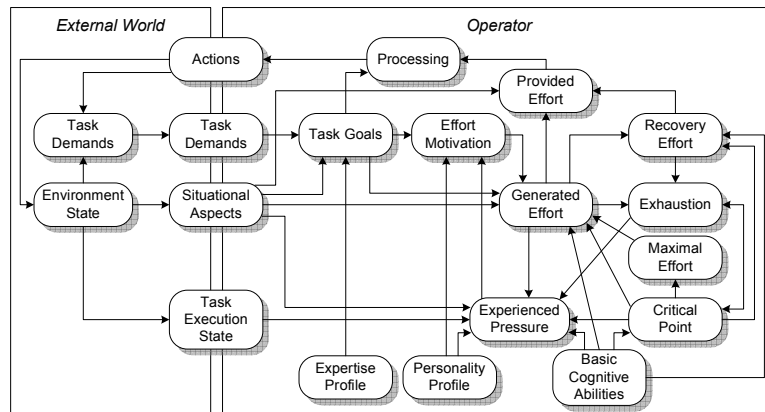
## Background and Contribution



- 1 In demanding working circumstances **the quality of the tasks** performed by a human **might degrade**.
- 1 To improve task performance, **personal assistant agents may be used**.
- 1 An agent is able **to reason about states of the human** and **to give the most appropriate and effective support**, when required.
- 1 To enable this, **the human work pressure model** developed previously (Bosse et al., 2008) can be applied.
- 1 To ensure that human states are recognized correctly and a proper support is provided to the human by the agent, the human work pressure model should be **valid**.
- 1 In this work **an approach is proposed to validate the existing work pressure model**.
- 1 In the validation approach **parameter estimation is crucial step**.

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## Agent model for an Operator's Functional State (1)



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## Agent model for an Operator's Functional State (2)



$$\begin{aligned} \frac{\partial \text{Exhaustion}(t)}{\partial t} &= \text{Pos}(\eta \cdot (\text{GeneratedEffort}(t) - \text{CriticalPoint}(t))) - \pi \cdot \text{RecoveryEffort}(t) \\ \frac{\partial \text{GeneratedEffort}(t)}{\partial t} &= \beta \cdot (\text{CurrentContributionEffort}(t) - \text{GeneratedEffort}(t)) \\ \frac{\partial \text{Experienced Pressure}(t)}{\partial t} &= \mu_1 \cdot \text{Pos}(\text{Experienced PressureChange}(t) \cdot (1 - \text{Experienced Pressure}(t))) - \\ &\quad \mu_2 \cdot \text{Neg}(\text{Experienced PressureChange}(t) \cdot \text{Experienced Pressure}(t)) \\ \text{CurrentContributionEffort}(t) &= \text{NoiseEffort}(t) + \\ &\quad \varepsilon \cdot \frac{\text{MaximalEffort}(t)}{\text{TopMaximalEffort}} \cdot \text{EffortMotivation}(t) \cdot \\ &\quad (w_1 \cdot (\text{CriticalPoint}(t) - \text{NoiseEffort}(t)) + w_2 \cdot \text{TaskLevel}(t) + w_3 \cdot \text{MaximalEffort}(t)) \\ \text{TopMaximalEffort} &= \text{LowestCriticalPoint} + \zeta \cdot (\text{BasicCognitiveAbilities} - \text{LowestCriticalPoint}) \\ \text{EffortMotivation}(t) &= \text{Experienced PressureInfluence}(t) \cdot \left( \frac{1 + \frac{1}{\gamma}}{1 + \gamma \cdot e^{-\phi \cdot \text{TaskLevel}(t)} - \frac{1}{\gamma}} - \frac{1}{\gamma} \right) \cdot \frac{1}{\text{Expectation}(t)} \\ \text{ExperiencedPressureInfluence}(t) &= 1 - (\text{HighPressureSensitivity} \cdot \text{Pos}(\text{ExperiencedPressure}(t) - \\ &\quad \text{OptimalExperiencedPressure}) + \text{LowPressureSensitivity} \cdot \text{Pos}(\text{OptimalExperiencedPressure} - \\ &\quad \text{ExperiencedPressure}(t))) \\ \text{ProvidedEffort}(t) &= \text{GeneratedEffort}(t) - \text{RecoveryEffort}(t) - \text{NoiseEffort}(t) \\ \text{RecoveryEffort}(t) &= \text{Pos}(\alpha \cdot (\text{CriticalPoint}(t) - \text{GeneratedEffort}(t))) \cdot \text{GeneratedEffort}(t) \cdot (\text{BasicCognitiveAbilities} - \\ &\quad \text{CriticalPoint}(t)) / \text{BasicCognitiveAbilities} \\ \text{CriticalPoint}(t) &= \text{LowestCriticalPoint} + (1 - \text{Exhaustion}(t)) \cdot (\text{BasicCognitiveAbilities} - \text{LowestCriticalPoint}) \end{aligned}$$

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## Background



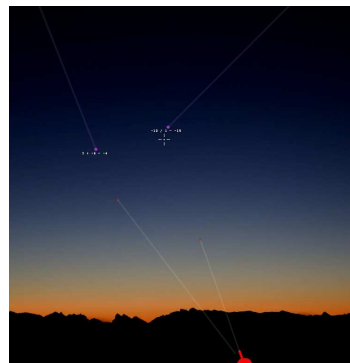
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## Experiments



- 1 31 subjects
- 1 Experiment duration is approx. 1h
- 1 2-factor within subject design:  
Condition 1 (15 minutes):  
low task level – high task level  
Condition 2 (15 minutes):  
high task level – low task level
- 1 Personal characteristics of the subjects were evaluated using NEO-PI-R and NEO-FFI questionnaires and short tests



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# Data



- Data from the experiment were used to calculate the values of several concepts from the work pressure model.

### The personality profile

$PerformanceNorm = B \cdot Ambition + (1 - 5 \cdot B)$   
 $PerformanceSensitivity = C \cdot Neuroticism + (0.5 - 5 \cdot C)$   
 $OptimalExperiencedPressure = 0.1 \cdot Extraversion \cdot D - 0.1 \cdot Vulnerability \cdot (1 - D) + (1 - D)$   
 $LowPressureSensitivity = 0$ , when  $OEP \leq 0.33$ ,  $LPS = 1$ , when  $OEP > 0.33$   
 $HighPressureSensitivity = 1$  when  $OEP < 0.67$ ,  $HPS = 0$  when  $OEP > 0.67$

### Basic Cognitive Abilities

$Calc = \% \text{ correct} \cdot \min CalcRT / CalcRT$   
 $BCA = (W3 \cdot Calc + W4 \cdot Choice) \cdot Z$   
 $Choice = \min ChoiceRT / ChoiceRT$

### The expertise profile and task level

$Mouse-RT = \% \text{dist\_to\_centre} \cdot \min Mouse-RT / Mouse-RT$   
 $Exp = W1 \cdot Calc + W2 \cdot Mouse-RT$   
 $TaskLevel = (1.5 - Exp) \cdot SitD$

### Performance quality

$Effectivity = (1 + explosion\_fraction) / 2.0$        $ObjTES = (0.25 \cdot efficiency + 0.75 \cdot effectiveness) \cdot 2$

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# Gradient-based parameter estimation



The method is based on [the maximum likelihood principle](#)

$$\hat{\theta}_{ML}^{k+1} = \hat{\theta}_{ML}^k + [\nabla_{\theta}^2 E(\theta)]^{-1} \cdot [\nabla_{\theta} E(\theta)]$$

$$\hat{R} = \frac{1}{N} \cdot \sum_{i=1}^N (z_i - \hat{y}_i) \cdot (z_i - \hat{y}_i)^T$$

$$\nabla_{\theta} E(\theta) = \sum_{i=1}^N \left[ \frac{\partial y_i}{\partial \theta} \right]^T \cdot R^{-1} \cdot (z_i - y_i)$$

$$\nabla_{\theta}^2 E(\theta) = \sum_{i=1}^N \left[ \frac{\partial y_i}{\partial \theta} \right]^T \cdot R^{-1} \cdot \left[ \frac{\partial y_i}{\partial \theta} \right]$$

$$err = \sqrt{\sum_{i=1}^N \frac{(z_i - \hat{y}_i)^2}{N}}$$

### Algorithm: ML-PARAMETER-ESTIMATION

**Input:** Initial values of the parameters  $\theta^1$ , maximal number of iterations  $itmax$ ; satisfactory error value  $err\_sat$ ; matrix of the input values  $U$ ; matrix of the output values  $Z$

**Output:** Maximum likelihood estimate  $\theta_{ML}$

- 1  $i = 1$
- 2 Until  $i \leq itmax$  perform steps 3-7
- 3 Calculate the current state of the system using the model equations
- 4 Calculate the output root mean square error  $err$ .
- 5 if  $err \leq err\_sat$ , then  $\theta_{ML} = \theta$ ; **exit** endif.
- 6 if  $i < itmax$ , then
  - 6a Calculate the noise covariance matrix  $R$
  - 6b Calculate the sensitivity coefficients  $\partial y_i / \partial \theta$
  - 6c Calculate the first and second gradients.
  - 6d Calculate the parameter values for the next iteration  $\theta^{i+1}$
- 7  $i = i + 1$
- 8 Find the minimum error  $err^m$  in  $\{err^i | i = 1..itmax\}$ ; then  $\theta_{ML} = \theta^m$ ; **exit**

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# Simulated annealing



$$Temperature = computational-budget-left \cdot error \quad (1)$$

$$\gamma = \gamma + Temperature \cdot (1 - \gamma) \cdot random\_no\_between[0,1] \quad (2a)$$

$$\gamma = \gamma - Temperature \cdot \gamma \cdot random\_no\_between[0,1] \quad (2b)$$

$$err = \sqrt{\frac{\sum_{i=1}^N (z_i - \hat{y}_i)^2}{N}}$$

### Algorithm:SA-PARAMETER-ESTIMATION

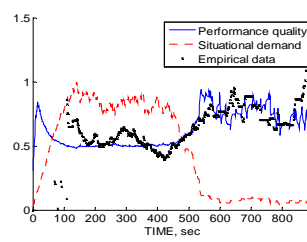
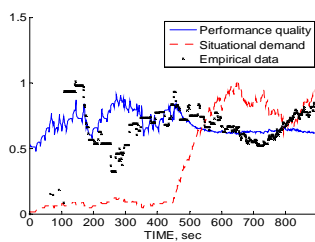
**Input:** Initial randomly selected values of the parameters , computational budget C; observed human behaviour B

**Output:** Best estimate of parameter settings  $\theta_{BE}$

- 1  $\theta_{BE} = \theta^1$ .
- 2 while  $C \geq 0$  perform steps 3-8
- 3 Chose a random parameter setting  $\theta$  in neighbourhood of  $\theta_{BE}$  using equations 1, 2a and 2b.
- 4 Calculate the output root mean square error  $err$  for  $\theta$ .
- 5 Calculate the output root mean square error  $err_{BE}$  for  $\theta_{BE}$ .
- 6 if  $err \leq err_{BE}$ , then  $\theta_{BE} = \theta$ ;  $err_{BE} = err$ ; endif.
- 7 Decrease C.
- 8  $Temperature = C * err_{BE}$ .
- 9 **output**  $\theta_{BE}$ .

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# Results of the parameter estimation (1)



Empirical data and the estimated output performance quality for subject 37 for condition1 (left) and condition 2 (right)

Error range		< 0.1	[0.1, 0.25)	[0.25, 0.4)	> 0.4
Subjects in condition 1	GB	21	11-20, 22, 24-41	-	-
	SA		40	11, 12, 22, 24-26, 30, 32-39, 41	13-18, 20, 21, 28, 29, 31
Subjects in condition 2	GB	12, 15, 18, 20, 21, 23, 27, 30	11, 13, 14, 16, 17, 19, 22, 24-26, 28, 32-41	29, 31	-
	SA	32	17, 26, 30, 31, 34, 35, 37, 40	12, 27, 38, 41	11, 13-16, 18-23, 25, 28, 29, 33, 36, 39

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## Results of the parameter estimation (2)



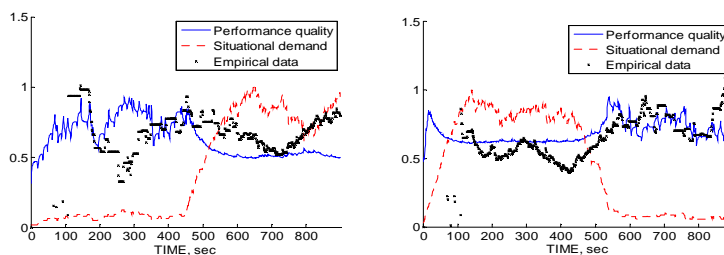
- To evaluate the quality of estimation also the Cramer-Rao measure was used

$$\sigma_{\theta} \geq \sqrt{I^{-1}(\theta)} \quad (I(\theta))_{ij} = E \left[ \frac{\partial^2 \log p(z | \theta)}{\partial \theta_i \partial \theta_j} \right]$$

- Using this measure at least 57% (70% in the best case) of the estimated parameters have been identified as accurate for all subjects in both conditions (relative standard deviation (rsd)  $\leq 5\%$ ). Other parameters, although less accurate (5% < rsd < 40%) still have a degree of confidence.
- Using another measure - the correlation coefficients among the estimates - only one significant correlation between the parameters A and  $\phi$  has been identified

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## Cross-validation



Predicted dynamics of the model for subject 37 in the condition 1 using the estimated parameters from condition 2 (left) and in the condition 2 using the estimated parameters from condition 1 (right).

Error range	< 0.1	[0.1, 0.25)	[0.25, 0.4)	> 0.4
GB	21	12-20, 22, 24-30, 34-40	11, 31, 32, 41	33
SA	-	17, 26, 31, 32, 37, 40	12, 13, 22, 25, 28, 30, 34, 35, 38, 41	11, 14-16, 18-21, 29, 33, 39

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## Conclusions & Discussion



- 1 A number of parameters (35% in average) were evaluated as less accurate, and, therefore, less reliable.
- 1 One reason for that is a large overall number of parameters being estimated.
- 1 Another reason is that since the empirical data were collected based on irregular events, some temporal intervals contained the amount of information insufficient for estimation.
- 1 Despite this, the models with estimated parameters demonstrated good predictive capabilities in the cross-validation, which is a strong indicator of the model validity.

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